

Direction

No. 27/2026

Date: 25/06/2026

Subject: Implementation of University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree Regulation, 2022-Direction-2026)

Whereas, Ordinance No. 1/2016 is in force with effect from 10th November 2016 for “Award of Degree of Doctor of Philosophy (Ph.D.), Recognition of Supervisor and Research Center in all faculties” in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil / Ph.D. Degree) Regulation, 2016;

And

Whereas, the University Grants Commission vide its Notification No. F. No. 1-3/2021 (QIF) Dated 7th November 2022 has published University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulation, 2022;

And

Whereas, in the above mentioned UGC Regulation, 2022 it is mentioned that, “They Shall apply to every University established or incorporated by or under a Central Provisional Act, or a State Act, every college and every Institution, deemed to be a University under University Grants Commission Act 1956”;

And

Whereas, the Academic Council of the University has decided to implement University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulation, 2022 in its meeting held on 03/10/2024 vide item No. 77 and Constituted a Committee No. 5/2024 under the Chairmanship of Dr. Dipak Dhote, Member, Academic Council for making recommendations and preparing Draft Direction in this matter;

And

Whereas, Committee No. 5/2024 has Submitted it’s recommendations to the University with a subject Direction for implementation of University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulation, 2022 as **ANNEXURE-Ph.D.** appended with this direction with **FORM-1 to FORM-10** and **Appendix-1 to Appendix-16**;

And

Whereas, Hon’ble Vice Chancellor of Sant Gadge Baba Amravati University has accepted the recommendations of Committee No. 5/2024 under power conferred upon him under section 12(7) of the Maharashtra Public University Act-2016 on behalf of Academic Council.

And

Whereas, it was expedient to implement University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2022, hence the 1st Direction No. 41/2025 was issued under section 12(8) of the Act, which has been lapsed after 6 months period, therefore, a fresh Direction needs to be issued for keeping provisions in force.

And

Whereas, issuing of ordinance on the subject Matter is likely to take time :

Therefore, in exercise of the powers conferred under sub-section (8) of Section (12) of the Maharashtra Public University Act-2016 and in super session of the Ordinance No. 1 of 2016 and other Ordinance on the Subject Matter those were in force from time to time. I Dr. Milind Barhate, Vice Chancellor of the Sant Gadge Baba Amravati University, Amravati here by issue the following Direction :

1) This Direction may be called “Implementation of University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2022-Direction-2026 ; Annexing **ANNEXURE-Ph.D.** along with **Form-1 to Form-10** and **Appendix-1 to Appendix-16.**

2) They shall apply to every university/School/Teaching departments and affiliated colleges recognized for offering Ph.D. program under this Direction.

3) This Direction deemed to have come into force from 29th November, 2025 (i.e. from next day of lapsing the earlier Direction No. 41/2025) Keeping the provisions of 1st Direction No. 41/2025, in force.

Place : Amravati

Date : 24.06.2026

Sd/-

(Dr. Milind Barhate)

Vice-Chancellor,
Sant Gadge Baba Amravati University

DIRECTION NO. 27/2026

Minimum Standards and Procedure for Award of Ph.D. Degree



Sant Gadge Baba Amravati University
Amravati – 444602, Maharashtra State, India

Index

Direction for implementation of University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2022

S.N.	Provisions, forms and appendices	Page No
1.	Short title, Application and Commencement.	4
2.	Definitions	4
3.	Eligibility criteria	5
4.	Duration of the Programme	6
5.	Procedure for Admission	6
6.	Allocation of Research Supervisor (Recognition of Supervisor / Guide)	7
7.	Admission of International students in Ph.D. Programme	8
8.	Maximum permissible number per Supervisor/Guide	9
9.	Course Work	9
10.	Research Advisory Committee (RAC) and its functions	10
11.	Evaluation And Assessment Methods, minimum. Standards / Credits for award of degree.	11
12.	Academic, research, administrative and infrastructure requirements to be fulfilled by Colleges for getting recognition for offering Ph.D. programmes	13
13.	Ph.D. through Part-time Mode	14
14.	Grant of M.Phil. Degree	14
15.	Provisional Certificate	14
16.	Award of Ph. D. degree prior to this Direction (Repeal and Savings)	14
17.	Depository with INFLIBNET	14
18.	Special Provisions	15
	Forms and Appendices	16
	Forms:	
1.	FORM – 1: RE-REGISTRATION TO PH.D. PROGRAMME	17
2.	FORM – 2: APPLICATION FORM FOR ADMISSION TO PH.D. PROGRAMME	18
3.	FORM – 3: APPLICATION FOR RECOGNITION AS Ph.D. SUPERVISOR	19
4.	FORM – 4: SUBMISSION OF INFORMATION BY RECOGNIZED SUPERVISORS FOR AUTOMATIC INCREASE IN PERMISSIBLE NUMBER OF PHD SCHOLARS.	21
5.	FORM – 5: UNDERTAKING REGARDING PLAGIARISM-FREE RESEARCH WORK	22

6.	FORM – 6: CERTIFICATE OF ORIGINALITY BY THE RESEARCH SUPERVISOR	23
7.	FORM – 7: APPLICATION FOR RECOGNITION OF COLLEGE FOR OFFERING PH.D. PROGRAMMES	24
8.	FORM – 8: NO OBJECTION CERTIFICATE (NOC) FOR PART-TIME PH.D. SCHOLAR	29
9.	FORM – 9: APPLICATION FOR RELOCATION OF A FEMALE / PERSON WITH DISABILITY PH.D. SCHOLAR	30
10.	FORM – 10: END SEMESTER BRIEF REPORT ON THE PROGRESS OF PH.D. WORK	32
	<u>Appendices:</u>	
1.	APPENDIX – 1: DECLARATION OF VACANCY POSITIONS	34
2.	APPENDIX – 2: RESEARCH PROPOSAL (SYNOPSIS) TEMPLATE FOR PHD REGISTRATION	35
3.	APPENDIX – 3: FORMAT FOR SUBMITTING INFORMATION OF PH.D. SCHOLAR TO THE UNIVERSITY.	37
4.	APPENDIX – 4: LIST OF PH.D. SCHOLARS APPROVED BY RRC IN THE DISCIPLINE/SUBJECT	38
5.	APPENDIX – 5: EVALUATION REPORT ON THE THESIS BY RESEARCH SUPERVISOR	39
6.	APPENDIX – 6: EVALUATION REPORT ON THE THESIS BY EXTERNAL EXAMINER	41
	Part – I: Checklist for Compliance with RRC Guidelines & Research Evaluation:	41
	Part - II: Detail Evaluation Report	43
7.	APPENDIX – 7: THE REPORT OF VIVA VOCE	44
8.	APPENDIX – 8A: REPORT OF THE COMMITTEE FOR GRANTING RECOGNITION TO OFFER PH.D. PROGRAMME	46
	APPENDIX – 8B: LIST OF RECOGNIZED COLLEGES/INSTITUTES/SUBJECT/DISCIPLINE FOR PH.D. PROGRAMME	47
9.	APPENDIX – 9: PROVISIONAL DOCTOR OF PHILOSOPHY (PH.D.) CERTIFICATE	48
10.	APPENDIX – 10: NOTIFICATION OF DOCTOR OF PHILOSOPHY (PH.D.)	49
11.	APPENDIX – 11: PH.D. FEE SCHEDULE	50
12.	APPENDIX – 12: LIST OF NEWLY RECOGNIZED SUPERVISOR / GUIDE	51
13.	APPENDIX – 13: RAC REPORT FOR PH.D. SCHOLAR ADMISSIONS	52
14.	APPENDIX – 14: APPLICATION FOR THE CHANGE OF COLLEGE/SUPERVISOR	53
15.	APPENDIX – 15: COSOLIDATED INFORMATION OF RESEARCH SUPERVISORS OF THE HEI	54
16.	APPENDIX – 16: COVER & TITLE PAGE FOR SYNOPSIS/SUMMARY/THESIS OF RESEARCH	55

Sant Gadge Baba Amravati University, Amravati
Direction for implementation of University Grants Commission
(Minimum Standards and Procedure for Award of Ph. D. Degree)
Regulations, 2022.

Subject: Direction for implementation of University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2022

1. Short title, Application and Commencement. –

- 1) This Direction may be called “Direction for implementation of University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2022”
- 2) They shall apply to every school/university teaching department and affiliated colleges recognized for offering Ph.D. programme under this Direction
- 3) They shall come into force from the date of their publication in the University Gazette.

2. Definitions:

(1) In this Direction, unless the context otherwise requires-

- a. “Act” means the University Grants Commission Act, 1956 (3 of 1956);
- b. “Adjunct Faculty” means a **part**-time or contingent instructor, but not full-time faculty member hired to teach by a Higher Educational Institution and empanelled by the university as per UGC guidelines for empanelment of Adjunct faculty in universities and colleges.
- c. “Cumulative Grade Point Average (CGPA)” means same as the average of the over all cumulative performance of a student over all **semesters**. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places;
- d. “Credit” means the number of hours of instruction required per week over the duration of a semester. A three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit;
- e. “College” means an institution engaged in higher education and /or research, either Established by a University as its constituent unit or is affiliated with it;
- f. “Commission” means the University Grants Commission established under Section 4 of the UGC Act 1956;
- g. “Course” means one of the specified units **which go to** comprise a programme of study;
- h. “Course Work” means courses of study prescribed by the School/Department/College to be undertaken by a student registered for the Ph.D. Degree;
- i. “Degree” means a degree awarded by a University/Higher Educational Institution in accordance with the provisions of section 22 (3) of the Act;
- j. “External examiner” means an academician/researcher with published research work who is not part of the Higher Educational Institution where the Ph.D. scholar has registered for the Ph.D. programme;
- k. “Foreign Educational Institution” means—(i) an institution duly established or incorporated in its home country and offering educational programmes at the undergraduate, post graduate and higher levels in its home country and (ii) which offers programme (s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode;
- l. “Grade Point” means a numerical weight allotted to each letter grade on a 10-point scale;
- m. “Guide/Research Supervisor” means an academician/researcher recognized by the University to supervise the Ph.D. scholar for his/her research;
- n. “Higher Educational Institution” means a University within the meaning of clause (f) of Section 2, a college within the meaning of clause (b) of sub-section (1) of Section 12A, and an institution deemed to be a University declared under Section 3, of the University Grants Commission Act, 1956;

- o. “Interdisciplinary Research” means research conducted by a Ph.D. scholar in two or more academic disciplines;
- p. “Open and Distance Learning Mode” shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
 - q. “Online Mode” shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
 - r. “Post-Graduate Department” means a post-graduate department in a college or institution of higher learning, research or specialized studies, recognized to be so by the university and imparting post-graduate instruction or guidance for research.
 - s. “Plagiarism” means the practice of taking someone else’s work or idea and passing them as one’s own;
 - t. “Programme” means a higher education programme pursued for a degree specified by the Commission under sub-section (3) of section 22 of the Act;
 - u. “Prospectus” means any document, whether in print or otherwise, issued for providing fair and transparent information relating to a Higher Educational Institution and programmes, to the general public (including to those seeking admission in such Higher Educational Institutions) by the Higher Educational Institutions;
 - v. “Research Proposal (Synopsis)” means a brief write-up giving an outline of the proposed research work which the Ph.D. scholar shall submit along with the application for registration for Ph.D. programme;
 - w. “University” means the Sant Gadge Baba Amravati University, Amravati established under Maharashtra Public University Act 2016.
 - x. “University Act” University Act means Maharashtra Public University Act 2016.
- (2) Words and expressions used and not defined in this Direction but defined in the University Act and not consistent with this Direction shall have the meanings assigned to them in the Maharashtra Public University Act 2016.

3. Eligibility criteria for admission to the Ph.D. Programme: The following candidates are eligible to seek admission to the Ph.D. programme:

- (1) Candidates who have completed:

A 1-year/2-semester master’s degree programme after a 4-year/8-semester bachelor’s degree programme **with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.**

OR

A 2-year/4-semester master’s degree programme after a 3-year bachelor’s degree programme **with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.**

OR

Qualifications declared equivalent to the master’s degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.

OR

Equivalent qualification from a foreign educational institution accredited by assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country.

OR

Any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

Board of Deans shall grant equivalence to such qualification from foreign educational institution through prescribed procedure in that behalf.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

- (2) Candidates who have completed the M. Phil. programme with at least 55% marks in aggregate or its equivalent grade in 10 point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standard of educational institutions, shall be eligible for admission to the Ph.D. programme.

Board of Deans shall grant equivalence to such qualification from foreign educational institution through prescribed procedure in that behalf.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/ Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

4. Duration of the Programme:

Maximum Duration to complete Ph.D. Programme is **Six (6) years** from the date of Admission.

Before six months of expiry of 6 years duration for Ph.D. from the date of admission, the Ph.D. scholar may apply for re-registration to the RAC of the School/ Department/College in the prescribed format **FORM-1**. The RAC may consider or reject the application for re-registration and **shall send** to the University for Final Approval.

Maximum two additional years may be granted to the Ph.D. Scholar on re-registration and maximum 4 additional years to Female candidates to compensate maternity or child care leave and Persons with disability. In case of Female candidates, the total period of 10 years be extended further up to 8 months if the female candidate was on maternity leave or child care leave not more than 240 Days during her tenure as a Ph.D. Scholar.

5. Procedure for Admission:

Besides fulfilling the eligibility criterion mentioned in para-3 (1) of this Direction, the National and International Candidate must have-

- (i) Qualified for fellowship/ scholarship in UGC-NET/ UGC CSIR NET / SET (SLET) GATE/CEED and similar National level tests or any other test conducted by National Testing Agency (NTA) to become eligible for admission to Ph. D. Programme.

Provided that a candidate who has achieved the valid score in GATE shall be considered irrespective of its validity period.

OR

- (ii) Must have cleared Ph.D. Entrance Test (PET) conducted by the university with minimum 50% score and 45% to the candidates belonging to SC/ST/NT/VJNT/OBC/ (Non-Creamy Layer)/differently abled category/ economically weaker section (EWS) and other categories of candidates as per decision of the UGC and State Government from time to time.

All such candidates desirous of admission to Ph.D. shall apply in **FORM-2** to the School/Department / College, where there is vacancy for accommodating him/her as a Ph.D. scholar.

On receipt of such application by the School/Department/College, it should be scrutinized by committee appointed by the HoD of the University Department / Principal of College. After scrutiny fully eligible candidate shall be called for an interview before the RAC of the School/Department/College for respective Discipline / Subject.

The university School/Department / College shall follow the reservation policy of the Central/ State Government as applicable from time to time.

Provided that for selection of candidates **based on the Entrance Test (PET)** conducted by the university, a weight age of **70%** be given for the PET examination result and **30%** for the performance in the interview.

Prior to start of admission process, the University School/ Departments/ Colleges, which are recognized to offer Ph.D. Programmes shall well in advance notify **Prospectus / Brochure** on institutions website specifying-

- i. The Maximum sanctioned capacity and number of seats available for admission Discipline / Subject wise.
- ii. Criterion for admission (Admission Policy)
- iii. Procedure of admission
- iv. List of Supervisors with vacancies available with them along with their thrust areas of research.
- v. Research facilities available with the institution.
- vi. Fee Structure as per '**Ph.D. FEE Schedule**' (**Appendix 11**)
- vii. Application form (**FORM-2**)
- viii. Details of Ph.D. scholars awarded Ph.D. and the Ph.D. Scholars with their topic of research and progressive year of Ph.D.
- ix. Number of seats reserved for each category

Last date to admission for PhD Programme in two cycles shall be **30th Sept** and **31st March** every year. The effective date of the PhD admission shall be either **1st Oct.** or **1st April of that year.**

Ph.D. Entrance Test:

University shall conduct the Ph.D. Entrance Test (PET) every year, preferably in the month of May. Candidates who appeared for PET as per university norms & procedure shall have to secure minimum 50% marks to become eligible for Ph.D. programme admission.

After declaration of PET result, the university shall immediately notify the vacancy position of university School/Department /College offering Ph.D. programmes on the university website as per the format**Appendix-1.**

On declaration of vacancies by the university, the university School/departments/colleges offering Ph.D. Programme shall announce admission schedule as fixed by the university on institution's website with prospectus/brochure mentioning all details including fees. On completion of the admission process the detailed information about Ph.D. Scholars shall be reported to the University in **Appendix-13.**

6. Allocation of Research Supervisor (Recognition of Supervisor / Guide):

(a) Eligibility criterion to be a Research Supervisor for permanent faculty member–

Permanent faculty member working as full-time approved Professor / Associate Professor in the Higher Educational Institution with Ph.D. and must have published at least five (5) research publications in peer reviewed or referred Journals as the first author.

OR

Permanent faculty member working as full-time approved Assistant Professor in Higher Educational Institution with Ph.D. and must have published at least three (03) research publication in peer reviewed or referred Journals as the first author. Exemption of one research paper may be granted to the patent holder. Experience of three (03) years of PG or five (05) years of UG regular and approved teaching or equivalent research experience in a recognized institution.

A permanent faculty member who fulfills above eligibility criterion shall apply to the university in the prescribed format **FORM-3** for seeking recognition as a supervisor / Guide. **FORM-3** must be accomplished with certified testimonials and has to submit to the university on or before **31st July OR 31st December** Every year.

Recognition granted by the University to a permanent faculty member in the Discipline/ Subject shall be limited to supervise / guide Ph.D. Scholar in the School/ Department/ College where the faculty member is permanently employed. Such recognized supervisor cannot supervise research scholars in other School/Department/College. However, they can only act as Co-Supervisor in other School/Department/College.

Explanation: Ph.D. awarded by the University under the supervision of a faculty member who is not an employee of the university or its affiliated Colleges / Institutes would be in violation of the Regulation, 2022 and of this Direction.

(b) Eligibility criterion to be a Research Supervisor for Research Scientist –

Research Scientist in research institutions of Central Government / State Government or permanent faculty members in the institute of National Repute who are equivalent to Professors / Associate Professor / Assistant Professor and who have published at least five(5) research publications in peer-reviewed or referred Journals as a first author may be considered for Recognition as a Supervisor in the university if he / she is guiding to the Ph.D. Scholar working in his / her organization and whose degrees are given by the recognized Higher Educational Institutes of the Country/State. Such persons shall apply for supervisor ship/guide ship in the University In the prescribed format **FORM-3** on or before 31st July and 31st December every year, through proper channel.

All applications received in **FORM-3** alongwith the copies of requisite documents of credentials, shall be placed before the RRC for allocation of Research Supervisor ship by the university. University shall publish the list of such newly Recognized Supervisor(s) on the official Gazette (**Appendix-12**) and publish it on the University Research Portal.

Co-supervisor from within the same department or other departments of the same institution or other Institution may be permitted on the recommendation by the RAC of the School/Department/ college for the Ph.D. Scholar who is pursuing his/her PhD work.

(c) Eligibility criterion to be a Co-Supervisor only–

Adjunct faculty members working in the Higher Educational institutions may be recognized as a co-supervisor by the University, if he / she has published at least three research papers in peer-reviewed or referred journals as the first author. The University shall follow the same procedure of granting recognition to a supervisor in case where recognition is granted as a co- supervisor to adjunct faculty.

Approved faculty member with Ph.D. Degree may be recognized as a co-supervisor, by following stipulated procedure given in this Direction for granting recognition to a Supervisor.

(d) Number of Ph.D. scholars permissible per supervisor:

1. Professor	08
2. Associate Professor	06
3. Assistant Professor	04
4. Adjunct faculty/Permanent Faculty member as a Co-supervisor	02

Once the Recognition as a Supervisor /Guide is granted to Associate Professor and Assistant Professor, he/she will be permissible to supervise 06 / 04 Ph.D. Scholars respectively; his / her number will automatically increase on becoming a Professor/Associate Professor to 08/06 respectively. However, the Recognized Supervisor has to inform in the prescribed format **FORM-4** for the purpose to update the information in the PhD Cell of the University. HEI shall submit information of supervisors in **APPENDIX – 15 after the admissions.**

In case of Interdisciplinary / multidisciplinary research work, if required a Co-supervisor from the Department / School / Center / College / University may be appointed.

(e) Relocation of a Female / Person with Disability Ph.D. Scholar -

- a. Due to marriage or otherwise, a female or person with Disability above 80% may be permitted to relocate to the University/ College of the university outside the Sant Gadge Baba Amravati University. For relocation, above categorized Ph.D. Scholar shall have to apply to the university. in the prescribed format as given in **FORM- 9** through the School/Department/ College where he/she is admitted and pursuing for Ph.D.
- b. If the Ph.D. Scholar is permitted to relocate, his/her research data shall be allowed to be transferred to the university department/college to which the scholar intends to relocate.
- c. Relocation to above categorized candidates shall not be permitted if the research work of the scholar pertains to a project sanctioned to the parent institution / supervisor by any funding agency.
- d. Relocated scholar shall, however, give due credit to the parent institution and university and the supervisor for the part of research already undertaken at the parent institution and under supervisor of parent institution.
- e. From the point or date of relocation a Ph.D. Scholar shall have to complete his/ her Ph.D. in accordance with the provisions of UGC Regulation 2022.

(f) Limitation in case of superannuation –

Faculty members with less than one years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

7. Admission of International students in Ph.D. Programme:

- (i) Each supervisor can guide up to two International research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars.
- (ii) Norms stipulated in this Direction shall be applicable to international students for Ph.D. admission till the award of Ph.D. degree.

Provided that International student may carry his / her research work at the center/Institute which is recognized as a research center by the University of his/her country. In such case, it is mandatory to sign MoU with the center/college, where the recognized Supervisor is employed and accepted to guide him/her. Provided further that, he/she may appear online in the entire process of Ph.D. However, he/she must remain present in the School/ department/college of this university for Viva-Voce where his/her supervisor is employed and accepted to guide him/her.

8. Maximum permissible number per Supervisor/Guide:

At any point the total number of Ph.D. scholars under a faculty member, either as supervisor or as co-supervisor shall not exceed the permissible number as mentioned in para-6 above.

9. Course Work:

1. Twelve (12) Credits have been prescribed to complete the course work.
2. **Duration of Course Work shall be 15 weeks.**
3. Syllabus of course work of Research Methodology component shall be as prescribed by respective Board of studies.
4. Minimum standards for completion of Course Work: Teaching – Learning – Evaluation Scheme of Ph.D. Course work.

TABLE : Teaching–Learning-Evaluation Scheme of Course Work

Sr. No.	Component Of Study/Practice/Project	Credits	Teaching Hours		Evaluation Theory		Mode & Process of Evaluation
			T	P	Max Marks	Min Marks	
1	Research & Publication Ethics (Excluding M.Phil Scholars)	2	2	-	50	25	MOOC or SWAYAM Portal or any other online recognized platform. Any online course developed by academic institute approved by Academic Council of Sant Gadge Baba Amravati University, Amravati.
2	Research Methodology Course (Excluding M. Phil Scholars)	2	2	-	50	25	Internal by Department /College
3	Project/Case Study on Teaching/Research assistantship for conducting tutorial/laboratory work (Excluding M.Phil Scholars)	4	2	4	100	50	Internal by Department /College
4	Review paper published in proceedings or Journal on the proposed research topic	2	-	-	50	25	Print Proceeding/Respective Journals
5	One week Orientation Course/Workshop on Ph.D. Process	2	2	-	50	25	By HRDC or Nodal College/s
Total		12	8	4	300	150	

University School/Departments are empowered to conduct the one-week orientation course/workshop. University may grant approval to conduct one week orientation course/workshop to any recognized college offering Ph.D. programme having excellent research credentials and resources.

Explanation: Following candidates shall be exempted from course work

- Senior Citizens.
- Persons with Differently abled (more than 80%)
- The credit prescribed for Sr. No. 1, 2 & 3 of course work standards shall be awarded to M.Phil degree holders on the basis of M.Phil degree.

However, above exempted candidates shall have to publish a Review Paper on their research topic.

A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC10-point scale in the coursework to become eligible to continue in the Ph.D. programme and submit his/ her thesis.

Notes:

- (i) **For Sr. No. 1:** Student who has completed Research & Publication Ethics course from MOOC or any other recognized platform, it shall be considered as per evaluation scheme of course work on the basis of marks conversion.
- (ii) **For M. Phil Degree holder :** Marks for Sr. No. 1, 2 & 3 shall be converted as per evaluation scheme on the basis of M. Phil marks.
- (iii) **For Sr. No. 4:** Min 25 marks , if the paper is published in proceedings & 50 marks if the review paper is published in peer reviewed / referred journal shall be allotted out of 50.
- (iv) Distribution of marks for Sr. No. 3 out of 100 is as under: —

<i>Project Dissertation</i>	<i>: 40</i>
<i>Teaching Assistantship</i>	<i>: 40</i>
<i>Viva</i>	<i>: 20</i>
<i>Total</i>	<i>: 100</i>

Declaration of Result of Course Work

Result of course work shall be declared by the University on the basis of assessment Received from the concerned School/Department /College.

10. Research Advisory Committee (RAC) and its functions:

There shall be a Research Advisory Committee (RAC) to be constituted of University Department and at the College recognized for offering Ph.D. programme as under –

a) For University Departments / Centers -

1	Director of School /Head of the Department / research Center	Chairperson
2	One subject Expert nominated by Director/HoD (must be recognized Supervisor and should have guided at least one Ph.D. students successfully) from other institute affiliated to the University	External Expert
3	Research supervisors of the Departments	Member/s
4	Research Supervisor of the Ph.D. Scholar concerned	Convener

b) For Colleges recognized for offering Ph.D. programme –

1	Principal	Chairperson
2	RAC Coordinator of the college appointed by the Principal of the College	Coordinator
3	HoD of concern Discipline / Subject	Member
4	One Subject Expert nominated by the Principal (Must be recognized Supervisor and should have guided at least one Ph.D. student successfully) (from other institute affiliated to university or in case of non-availability. The Principal nominate expert from other university)	External Expert
5	Research Supervisors of the Department	Members/s
6	Research Supervisor of the Ph.D. Scholar Concerned	Convener

*** Presence of external expert shall be compulsory.**

C. Functions of RAC:

- i. To monitor the admission/transfer process and maintain the data of research scholars **(APPENDIX – 15)**
- ii. To review the Research Proposal and finalize the topic of Research.
- iii. To guide the Ph.D. Scholar in developing the study design and methodology of research and identify the course (s) that he / she may have to do.
- iv. To periodically review and assist in the progress of the research work of the Ph.D. Scholar.
 - a. After each semester (Six Months), a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance in **Form-10**. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the University. A copy of such recommendations shall also be provided to the Ph.D. scholar.
 - b. In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.
- v. To conduct presentation on Draft Dissertation / Thesis of the Ph.D. Scholar.

It is the responsibility of the Convener of the RAC to maintain a separate file of Ph.D. scholar working under his / her supervision. In compliance to functions of RAC, the Convener shall be responsible to schedule the meeting of RAC as and when required under the instructions of the Chairperson.

Approval to Research Proposal (Synopsis):

- (i) On satisfactory completion of course work and obtaining a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work, the Ph.D. Scholar admitted shall be eligible to continue in the programme.
- (ii) The Ph.D. Scholar shall have to submit his / her Research Proposal (Synopsis) to his / her Research supervisor within one month of the declaration of Result of Course work as per 'Template of Research Proposal' as given in **Appendix-2**. Research proposal shall be screened for plagiarism (strict UGC threshold: 15% similarity limit).
- (iii) On receipt of Research proposal in the prescribed format, the Research supervisor being the convener of Research Advisory Committee (RAC) shall place it before the Research Advisory Committee constituted as per para-10 of this Direction for review of the research proposal and to finalize the topic of research for its onward approval by the Research & Recognition Committee (RRC) constituted as per the Section 37 of MPU Act 2016.

- (iv) After finalization of Research topic of the Ph.D. Scholar by the RAC of the School/ Department / College recognized for offering Ph.D. programme, the College shall submit the information of the Ph.D. scholar to the University in the prescribed format as per **Appendix-3** along with seven copies of finalized Research Proposal and Review paper photo copy of each individual Ph.D. Scholar preparing a separate file within 7 days for each Ph.D. Scholar after the finalization of topic of Research by RAC.
- (v) On receipt of finalized Research Proposals from the School/Department / Colleges, the University shall segregate all research proposals Discipline /Subject wise for it's submission before the RRC for the approval of topic of research.

RRC Composition:

- a. The Pro-Vice-Chancellor –Chairperson
 - b. Dean and Associate Dean of concerned Group Subject – Member
 - c. The Chairperson, Board of Studies – Member
 - d. Two Subject Experts nominated by the Vice-Chancellor under section 37 (2) (a) (iv) of MPU Act 2016 – Member
- **D.R. Phd Cell or required officer shall provide secretarial assistance.**
- (vi) The Ph.D. (Cell) shall declare the schedule of RRC Meetings of all Disciplines / Subjects twice in a academic year, preferably in the month of Jan/Feb and Sep/Oct of every Academic year.
 - (vii) **The Ph.D. Scholar shall remain present before the RRC for presentation / discussion on his / her Research proposal. His/Her supervisor may remain present.**
 - (viii) On approval of the Topic of Research & Research proposal by the RRC, the university shall notify it on the university research portal **within 30 days** from the date of RRC was held (**Appendix-4**).

11. Evaluation And Assessment Methods, minimum Standards / Credits for award of degree.

(1) Submission of Thesis for evaluation:

- (i) Upon satisfactory completion of course work by earning 12 credits, and after spending minimum period of two years from the date of admission, the Ph.D. Scholar may produce draft dissertation / thesis to the RAC of the concerned Department / College where the Ph.D. Scholar is pursuing Ph.D. degree for its presentation and for finalization of the Dissertation / Thesis in **APPENDIX-16**. Such presentation shall also be open to all faculty members and other Research Scholars / Post Graduate Students.
 - (ii) The Ph.D. Scholar shall have to submit Certificate of Plagiarism in there research work along with the draft dissertation / thesis. (Strict enforcement of UGC's plagiarism threshold: 15% similarity overall; 10% from a single source.)

Provided that in exceptional cases minimum period of two years for submission of thesis may be reduced to one and half year by the Pro Vice-Chancellor based on concrete recommendations and due justification by RAC.
 - (iii) After the finalization of thesis by the RAC, Ph.D. Scholar shall have to submit four hard copies of the thesis for evaluation through the School/Department/College to the university along with following documents-
- a) An undertaking in **FORM-5** from the Ph.D. Scholar that there is no plagiarism.
 - b) A certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/discipline to any other University in **FORM-6**.
 - c) Evaluation report on the thesis by his/her Research Supervisor in sealed envelope as per **Appendix-5**.
 - d) The copy of the synopsis approved by RRC.
 - e) Summary of the thesis not exceeding five pages.

- f) Soft copy of the thesis and Summary to be retained with Research Supervisor of the Ph.D. Scholar. Research Supervisor shall have to preserve this copy with him/her and should be made available to the university as and when demanded by the university.

(iv) On receipt of the thesis along with requisite documents mentioned in Para-11 (1) (iii) (a) to (d), the University shall proceed for appointment of two external examiners in the manner as stated below.

(2) Appointment of external examiners:

- i. For appointment of two external examiners for evaluation of the thesis, RRC of the university should prepare a panel of ten external examiners (two panels of five external examiners) not below the rank of Associate Professor/Equivalent Grade. Such examiner(s) should be an academicians with a good record of scholarly publications in the relevant field discipline with their full address, pin code, e-mail, telephone/cell number, fax number (if any). The RRC shall recommend two panels of five external examiners each. First panel shall include the external examiners from within Maharashtra State (not belonging to the jurisdiction of this University and not associated with the University) and the other panel from outside the State or out of India wherever possible.
Provided that if language of thesis is vernacular and external examiners from out of the State are not available, the panel of external examiners shall be recommended from within the State. (Out of the University Jurisdiction and not associated with the University).
- ii. The panel of external examiners, recommended by the Research and Recognition Committee, shall be placed before the Vice-Chancellor. The Vice-Chancellor shall draw lot of the names of two external examiners, one from each panel of five external examiners.
- iii. The University shall initiate the process of thesis evaluation by sending the letters along with the copy of the summary of the thesis to the external examiners. For this the external examiners shall be communicated through official e-mail and also by surface mail within one week from the date of approval of two external examiners, requesting them to communicate their consent for evaluation of thesis within fifteen days. In addition, the University shall take care to remind the external examiners telephonically.
- iv. If such consent is not received within thirty days from the date of communication, the university shall send the letter for consent to the next external examiner from the panel on approval of Vice-Chancellor as per para (ii). In case, the complete panel is exhausted, the fresh panel shall be requested from the RRC.
- v. On receipt of the consent from the external examiner, the University shall forward the copy of thesis within one week. This may be the soft or hard copy as per the choice of the examiner. Further the external examiner shall be requested to submit the evaluation report in the prescribed format **Appendix-6** alongwith the detailed report with the queries/comments (if any) within forty-five (45) days.
- vi. If the report of external examiner is not received within the stipulated period, the University shall send a reminder to the external examiner through e-mail/telephonically to submit the report within next fifteen (15) days. If there is no response from the external examiner even within the extended period, appointment of external examiner shall deem to be cancelled and the University shall appoint the next external examiner from the panel.

After receipt of the reports of both external examiner on thesis:

- i. If both the external examiners have recommended acceptance of the thesis, the thesis shall be treated as accepted.
- ii. If both the external examiners have recommended rejection of the thesis, the scholar shall not be eligible for the award of Ph.D. Degree. However, he/she may be allowed to proceed the research work in the light of comments made by both external examiners and shall submit revised thesis within one year to the university by following the stipulated procedure of this Direction for submission of thesis and same procedure of evaluation is applicable in such case.
- iii. If both the external examiners recommend the revision of thesis, then scholar shall submit the revised thesis incorporating the suggestions made by both the external examiners, in three copies within six months. The revised copies of thesis then shall be sent to the external examiners along with their suggestions. After revision if both the external examiners recommend acceptance/rejection, the thesis will be treated as accepted/rejected.

- iv. If one external examiner recommends acceptance and the other recommends rejection, then a third external examiner shall be appointed from amongst the approved panel at office level. In such case the decision of the third external examiner shall be final.
- v. If one external examiner recommends acceptance and the other recommends revision then scholar shall submit the revised thesis in three copies within six months. The revised copy of thesis then shall be sent to the same external examiner who has suggested revision and whose decision shall be final.
- vi. If one external examiner recommends revision and other recommends rejection even after revision, then thesis will be treated as rejected and Ph. D. Scholar may proceed as per procedure as mentioned in para (ii) above.
- vii. If the thesis has been accepted under the conditions mentioned above, the scholar shall be eligible for open defense and the process for the same shall be initiated within fifteen days. The entire process of evaluation of Ph.D. thesis shall be completed within a period of six months from the date of submission of the thesis.

Viva-Voce Board:

Dean of the concerned faculty shall be the chairperson of viva-voce board. Chairperson of concerning Board of Studies as Co-Chair (who shall act as Chairperson in case of non-availability of the Dean of concerned faculty), Members of RRC & RAC of concerned subject and at least one of the two external examiners shall be the members. The viva-voce shall be open to the faculty members, research scholars and Post Graduate students of concern Discipline / Subject.

Procedure for conducting viva-voce shall be as under –

- (i) Viva-voce of the Ph.D. scholar shall be conducted at the School/Department / College from where the Ph.D. Scholar has pursued for Ph.D. work.
- (ii) Date of Viva-voce shall be fixed by competent officer of Ph.D. Cell in consultation with the Chairperson of Viva-voce Board and shall be communicated to the Recognized Supervisor of the Ph.D. Scholar.
- (iii) The date of viva-voce also be communicated to all members of viva-voce Board. However, it shall be the responsibility of the Supervisor to notify widely the date of viva-voce to faculty members, research scholars and the post graduate students of the Discipline /Subject.
- (iv) Research Supervisor shall have to create a link of the meeting and to communicate it to all concerned to attend viva-voce online. Both or at least one external examiner to attend online.
- (v) Research supervisor of the Ph.D. Scholar shall submit the report of viva-voce to university as per **Appendix-7** through dedicated research portal immediately after viva-voce is over.
- (vi) The university shall, before the closing of the day of the viva-voce, make the provisional Ph.D. award certificate available on the University Research portal.
- (vii) Entire process of evaluation shall be completed within six (6) months from the date of submission of the thesis. However, the date of submission of the thesis shall be the effective date of provisional certificate as well as Ph.D. degree award.

12. Academic, research, administrative and infrastructure requirements to be fulfilled by Colleges for getting recognition for offering Ph.D. programmes:

- (1) Post-graduate Colleges offering 4-year Undergraduate Programmes and/or Post- graduate Programmes, may offer Ph.D. programmes, provided they satisfy the availability of eligible Research Supervisors, required infrastructure, and supporting administrative and research facilities as per UGC Regulation, 2022.
- (2) Colleges, research institutions and institutes of National repute established by the Central Government or a State Government whose degrees are awarded by Higher Educational Institutions/Universities shall offer Ph.D. programme provided they have:
 - i. At least two Permanent /adjunct faculty members in a college/ research institution or institute of National repute or two Ph.D.- qualified scientists in the research institution.

- ii. Adequate infrastructure, administrative support, research facilities and library Resources as specified by the HEI.

The College, research institution and institution of National repute fulfilling above criterion may apply to the university in the prescribed **FORM-7** for getting recognition for offering of Ph.D. programme.

- iii. On receipt of the Application by the College/Research institution/Institute of National repute, the university may verify the requirements to be fulfilled for getting recognition by the university through the committee headed by the Dean of concerned faculty / Professor in concerned subject nominated by Pro Vice-Chancellor with Chairmen of concerned Board of Studies and one expert to be nominated by the Pro Vice-Chancellor of the University as it's members and submit it's report to the university in **Appendix-8A** for granting recognition. University shall notify the list of recognized colleges/ Institutes on the research portal in the format as per **Appendix-8B**.

13. Ph.D. through Part-time Mode

(i) Ph.D. through Part-time mode will be permitted to Full time Employed person only. Candidate who desires to peruse Ph.D. through Part-time mode shall have to fulfill all conditions for Ph.D. degree award as stipulated for full-time Ph.D. scholar as per this Direction.

(ii) Candidate who desires to peruse Ph.D. through Part-time mode shall have to submit "No Objection Certificate" as per **FORM-8**.

Not with standing anything contained in UGC Regulation, 2022 or any other law, for the time being in force, no HEI or research institution of the Central Government or State Government shall conduct Ph.D. programme through distance and/or online mode.

14. Grant of M.Phil. Degree:

M. Phil. (Master of Philosophy) programme shall not be offered by the university.

15. Provisional Certificate:

On successful completion of the viva-voce of the Ph.D. scholar the University shall on the same day issue a provisional certificate to the candidate and upload it on research portal as prescribed by **Appendix-9**. The final result shall be officially declared by the University on approval of the Vice Chancellor within a week of the date of receipt of favorable report of Viva Voce Board (**Appendix- 10**). The date of the submission of the thesis shall be the date of the award of the degree. The original PhD degree shall be conferred in forthcoming convocation of the University.

16. Award of Ph. D. degree under Ordinance No. 19/2009 and 1/2016, (Repealing & Savings)

- (i) On and from the commencement of this Direction, the ordinance No.19/2009 and 1/2016 shall stand repelled
However
- (ii) Not withstanding the repealing of the aforesaid ordinance -
 - a. For the purpose of Award of Ph. D. degrees to the candidates already registered, for the Ph.D. programme on or after July, 2009, till 28/05/2025 I.e. the date before publication of 1st Direction No. 41/2025, shall be governed in all respects by the respective provisions of the UGC (Minimum standards and Procedure for Award of M.Phil. / Ph.D. Degree) Regulation, 2009 as prescribed by ordinance No. 19/2009 of this University or the UGC (Minimum Standards and Procedure for Award of M.Phil. / Ph.D. Degree) Regulation, 2016 as prescribed by Ordinance No. 1 of 2016 of this University as the case may be, provided that—to avoid hardship to such research Scholars and for convenience in administrative processes in the evaluation of the thesis researcher or registered under Ordinance No. 19 of 2009 and 1 of 2016, the provision of para 11, 15 & 18 of this direction shall be applicable.
 - b. The research centers granted recognition for Ph.D. programme prior to enforcement of this Direction shall continue to be recognized research centers. However, such research centers where PG programme in the Discipline/Subject is not in existence, they have to seek permission to offer **PG or 4 year of UG programme under NEP-2020 in the Discipline/subject within three years from the date of issuance of this Direction**.

- c. Nothing in this Direction shall impact the M. Phil. degree programme commencing prior to the enforcement of this Direction / Ordinance.

17. Depository with INFLIBNET:

Following the successful completion of the evaluation process and before the announcement of the award of the Ph. D. degree(s), the HEI concerned shall submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting same so as to make it accessible to all the HEI and research institutions.

18. Special Provisions:

- I. Provisions of (i) UGC's Policy and Programme for improvement of Research in the Universities, (ii) UGC Notification dated 23rd July 2018 on 'University Grants Commission (Promotion of Academic integrity and prevention of plagiarism in Higher Educational Institutes) Regulation, 2018, (iii) UGC public Notice dated 20th April 2020 on Self Plagiarism and (iv) UGC's Public Notice dated 14th June 2019 on Academic Integrity shall be invoked in case of plagiarism against Ph.D. Scholar, permanent faculty member and Recognized supervisors.
- II. It is mandatory to all Ph.D. scholars pursuing Ph.D. at any recognized Institute of the university to mention the University full name in affiliation while reporting as author or co- author in research paper(s) published after his/her admission as a Ph.D. Scholar.

The university shall mention this clause (18) (II) in the letter of registration issued to the Ph.D. Scholar.

- III. Change of the college and or supervisor shall be permissible in the following circumstances (APPENDIX – 14)
- a. Transfer of supervisor from one college to other college within the University area
 - b. Superannuation of the supervisor
 - c. Grievance of the scholar and supervisor
 - d. Mutual consent of the colleges, supervisors and scholars.

Amravati.

Date : 24.06.2026

Sd/-

(Dr. Milind Barhate)

Vice-Chancellor

Sant Gadge Baba Amravati University, Amravati.

Forms and Appendices

FORM - 1	20
RE-REGISTRATION TO PH.D. PROGRAM.....	20
FORM - 2	21
APPLICATION FORM FOR ADMISSION TO PH.D. PROGRAMME	21
FORM - 3	22
APPLICATION FOR RECOGNITION AS Ph.D. SUPERVISOR.....	22
FORM - 4	24
SUBMISSION OF INFORMATION BY RECOGNIZED SUPERVISORS FOR AUTOMATIC INCREASE IN PERMISSIBLE NUMBER OF PHD SCHOLARS.....	24
FORM - 5	25
UNDERTAKING REGARDING PLAGIARISM-FREE RESEARCH WORK.....	25
FORM - 6	26
CERTIFICATE OF ORIGINALITY BY THE RESEARCH SUPERVISOR.....	26
FORM - 7	27
APPLICATION FOR RECOGNITION OF COLLEGE FOR OFFERING PH.D. PROGRAMMES	27
FORM - 8	32
NO OBJECTION CERTIFICATE (NOC) FOR PART-TIME PH.D. SCHOLAR	32
FORM – 9	33
APPLICATION FOR RELOCATION OF A FEMALE /PERSON WITH DISABILITY PH.D. SCHOLAR.....	33
FORM – 10	35
END SEMESTER BRIEF REPORT ON THE PROGRESS OF PH.D. WORK.....	35
APPENDIX - 1	37
DECLARATION OF VACANCY POSITIONS.....	37
APPENDIX - 2.....	38
RESEARCH PROPOSAL (SYNOPSIS) TEMPLATE FOR PHD REGISTRATION.....	38
APPENDIX - 3.....	40
FORMAT FOR SUBMITTING INFORMATION OF PH.D. SCHOLAR TO THE UNIVERSITY	40
APPENDIX - 4.....	41
LIST OF PH.D. SCHOLARS APPROVED BY RRC IN THE DISCIPLINE/SUBJECT	41
APPENDIX - 5.....	42
EVALUATION REPORT ON THE THESIS BY RESEARCH SUPERVISOR.....	42
APPENDIX - 6.....	44
EVALUATION REPORT ON THE THESIS BY EXTERNAL EXAMINER.....	44
Part – I: Checklist for Compliance with RRC Guidelines & Research Evaluation	44
Part - II: Detail Evaluation Report.....	46
APPENDIX - 7.....	47
THE REPORT OF VIVA VOCE	47
APPENDIX - 8A.....	49
REPORT OF THE COMMITTEE FOR GRANTING RECOGNITION TO OFFER PH.D. PROGRAM.....	49
APPENDIX - 8B	50
LIST OF RECOGNIZED COLLEGES/INSTITUTES/SUBJECT/DISCIPLINE FOR PH.D. PROGRAM.....	50
APPENDIX - 9.....	51
PROVISIONAL DOCTOR OF PHILOSOPHY (PH.D.) CERTIFICATE.....	51
APPENDIX - 10.....	52
NOTIFICATION OF DOCTOR OF PHILOSOPHY (PH.D.)	52
APPENDIX – 11	53
PH.D. FEE SCHEDULE	53
APPENDIX – 12	54
LIST OF NEWLY RECOGNIZED SUPERVISOR / GUIDE	54
APPENDIX - 13.....	55
RAC REPORT FOR PH.D. SCHOLAR ADMISSIONS	55
APPENDIX - 14.....	56
APPLICATION FOR THE CHANGE OF COLLEGE/SUPERVISOR.....	56
APPENDIX - 15.....	57
COSOLIDATED INFORMATION OF RESEARCH SUPERVISORS OF THE HEI	57
APPENDIX-16.....	58
COVER & TITLE PAGE FOR SYNOPSIS/SUMMARY/THESIS OF RESEARCH.....	58

Sant Gadge Baba Amravati University, Amravati
FORM - 1

(Under Para 4 of Direction 27 of 2026)

RE-REGISTRATION TO PH.D. PROGRAM

To,
The Deputy Registrar (Ph.D.) Cell
Sant Gadge Baba Amravati University,
Amravati.

Subject: Request for Re-registration to the Ph.D. Program in _____
(Discipline/Subject Name) under the Faculty of _____.

Respected Sir/Madam,

I was registered for the Ph.D. degree in _____**(Discipline/Subject)**
under the Faculty of _____**(Faculty Name)** with effect from
_____**(Date of Registration)**.

I am pursuing my Ph.D. at _____**(Name of College/Institution)**.

As I was unable to submit my thesis within the stipulated six-year period from my date of registration, I kindly request you to consider my application for re-registration. I sincerely seek your approval to continue my research and complete my thesis.

I would be grateful for your consideration and look forward to your confirmation.

Thanking you.

Yours sincerely,

(Signature and Full Name of Ph.D. Scholar)

RAC Recommendations: Approved / Rejected

Signature

Chairperson, RAC

Enclosures:

1. Copy of Registration Letter

Sant Gadge Baba Amravati University, Amravati
FORM - 2

(Under para 5 of Direction 27 of 2026)

APPLICATION FORM FOR ADMISSION TO PH.D. PROGRAMME

(Academic Year : _____)

Mode of program Full time / Part time		Mode of admission though Entrance test / Direct Admission		Type of Candidate National Candidate / International Candidate		
1	Name of the Applicant (as entered in the degree certificate) (in capital letters)	In English				
		In Marathi				
2	Name of the Father / Spouse	Name of the Mother				
3	Sex	Male / Female / Transgender				
4	Date of Birth	--/--/ ----(DD/MM/YYYY)				
5	Category Are you Differentially Abled	SC/ST/ OBC/SBC/EWS/ Open / Any other pl. specify_____ Yes / No if yes please specify – Type - _____ % of Disability - _____				
6	Nationality	Indian / Foreign				
7	Contact Details	Phone:				
		Email Id				
		Mobile No.				
8	Qualifications	For all types of Candidates				
8.1	Academic					
Academic Qualification (Matter Level Online)	Name of the School / College / University Department	Month & Year of Passing	Subject	% of Marks / CGPA	Class / Rank	
TYUGP*						
FYUGP**						
MASTER						

7.2. Details of Qualifying Tests_____

7.3. Broad Area of Research _____

8. Any _____ other _____ Information: _____

9. Declaration by all applicants: _____

10. 1) I, hereby declare that above information is true, correct and authentic.

2) I declare that I will abide by the rules and Regulations of Ph.D. Programme.

Place:

Date: _____ (Signature & Name of the Applicant)

Note: Attach the attested Photo copies of the relevant documents.

* **TYUGP** – Three Year Under - Graduate Program

** **FYUGP** – Four Year Under - Graduate Program

SANT GADGE BABA AMRAVATI UNIVERSITY, AMRAVATI
FORM - 3

(Under para 6 of Direction 27 of 2026)

APPLICATION FOR RECOGNITION AS PH.D. SUPERVISOR

Faculty :- _____

Discipline / Subject :- _____

1. Name of the Applicant : _____
2. Designation / Position : _____
3. College/ Institution / Research Institution : _____
4. University Approval No./CAS Promotion Letter No and Date : _____
5. Whether the institute is recognized for offering PhD Program: Yes/No
6. Address for Correspondence : _____

7. Mobile No. : _____ E-mail ID : _____
8. Date of Birth : _____ Date of Joining: _____ Date of Superannuation: _____
9. Discipline / Subject and faculty in which recognition is sought: _____
10. Academic Qualifications:

Sr. No.	Degree	Subject	Name of University	Year of Passing / Date of Notification of Award	Percentage of Marks
1	P.G.				
2	Ph.D.				
3	Any Other				

11. Title of the Ph.D. Thesis: _____

12. Ph.D. Notification No. and Date of Award: _____

Teaching / Research Experience Record:

Sr. No.	Designation/ Position	(Teaching/ Research)	Institution	Date of Joining	Date of Relieving	Total Experience
1						
2						
3						

Research Track Record:

13. Research Publications in the concerned subject published in peer reviewed or Referred journals
(Attach the reprints of research publications with each application)

Sr.No.	Title of the Paper	Name of Authors	Name of Journal	Publisher	Vol. No (Issue No.)	Page No.	Year	Impact Factor (if any)
1								
2								
3								
4								

14. Technology transferred: _____

15. Experience in Research Supervision / Independent Research

Sr. No.	Experience in guiding research project / dissertation	Subject	Duration		No. of years & Months
			From	To	
1	4 Years UG (Hon's with Research)				
2	PG				
3	Sr Research Associate / Research Associate / Post Doctoral Researcher / Scientist / Independent Researcher for Government funded project.				
4	Any other demonstrating Experience in Research Supervision / Independent Research				

16. Dissertation Project Guided for M.Phil. / Ph.D. / FYUG Program. _____

Sr.No.	Name of Student	Program	Title of the Project	Semester	Year	Degree Awarded
1						
2						
3						
4						

17. Any other Information: _____

Date: __/__/____

Place: _____

Signature of the Applicant

Verified, found correct and forwarded for necessary action.

Signature of Head of the Department / Principal
/ Director of Research Institute

This application should be submitted to the Ph.D. Cell of Sant Gadge Baba Amravati University, Amravati.

List of enclosure

1. Copy of Approval Letter (if applicable) / Promotion / Offer
2. Notification / Degree Certificate of Ph.D.
3. Evidence of Successful guidance of UG / PG / independent (Funded) Research Project / dissertations.
4. Details of patent granted / technology transferred.
5. Copies of research publications in Peer Reviewed Journals.

FORM - 4

(Under Para 6 of Direction 27 of 2026)

**SUBMISSION OF INFORMATION BY RECOGNIZED SUPERVISORS FOR
AUTOMATIC INCREASE IN PERMISSIBLE NUMBER OF PHD SCHOLARS.**

To,

The Deputy Registrar (Ph.D.) Cell

Sant Gadge Baba Amravati University, Amravati,
Amravati.

Subject: Submission of information Automatic increase in permissible numbers of PhD Scholars.

Respected Sir/Madam,

I am currently employed as _____ in the _____
and am a recognized Ph.D. supervisor in the Discipline/Subject of _____ in the
faculty of _____. The recognition was granted to me vide the letter no.
_____ dated _____.

I was promoted as Associate Professor / Professor on _____ (date). This placement
has been approved as per letter no. _____ dated _____.

As per the existing guidelines, after promotion the maximum number of PhD Scholar working under my
supervision shall be _____. Currently ____ students are registered under my supervision, and ____ are admitted
for Course work.

I kindly request you to update this information in the University Research Portal for general information and
oblige.

Thanking you,

Yours Sincerely,

Signature _____

Name of supervisor _____

Endorsement by the Head of the Department / and Principal /Director of the College

Signature and Stamp

Encls:

1. Copy of Recognition Letter
2. Copy of the Approval of Placement

FORM -5

(Under Para 11 of Direction 27 of 2026)

UNDERTAKING REGARDING PLAGIARISM-FREE RESEARCH WORK

To,
The Deputy Registrar (Ph.D.) Cell
Sant Gadge Baba Amravati University, Amravati
Amravati.

Subject : Undertaking for Plagiarism-Free Research Work

Respected Sir/Madam,

I, _____ (Full Name of Ph.D. Scholar),
son/daughter of _____ (Parent/Guardian's
Name), a registered Ph.D. scholar in the discipline/subject of
_____ (Subject Name) under the Faculty of
_____ (Faculty Name), at
_____ (Name of Institution/College),
hereby submit this undertaking along with my (Synopsis of Ph.D. Research Work / Summary / Thesis)
for approval by the RRC or for evaluation purposes.

I solemnly affirm that:

1. My **Synopsis/Summary/Thesis** titled -

" _____ "

is my original work, prepared without resorting to any form of plagiarism.

2. All sources and references used in my research have been duly acknowledged and cited following academic integrity guidelines.
3. No part of my work has been copied, reproduced, or lifted from any published or unpublished material without proper acknowledgment.
4. The research work adheres to the plagiarism policy of **Sant Gadge Baba Amravati University**, and I have ensured compliance with the ethical guidelines set forth by the University.
5. I understand that plagiarism is a serious academic offense, and if any instance of plagiarism is found at any stage of evaluation or after the award of the degree, I shall be solely responsible for the consequences, including the cancellation of my Ph.D. registration or degree.

The Certificate of Plagiarism issued by _____
(Name of the Plagiarism Detection Authority/Software), indicating a permissible similarity limit of _____ %, is attached herewith. This undertaking is submitted in good faith along with my **Synopsis/Summary/Thesis** for further approval and evaluation.

Date: _____

Place: _____

Signature: _____

Full Name of Ph.D. Scholar: _____

Ph.D. Registration Number: _____

For Official Use (Verification by the Supervisor and Head of the Department)

Certified that the above declaration made by the Ph.D. Scholar has been verified, and to the best of our knowledge, the research work is plagiarism-free and in compliance with the University's academic integrity guidelines.

Supervisor's Name & Signature: _____

Designation: _____

Institution/Department: _____

Sant Gadge Baba Amravati University, Amravati
FORM - 6

(Under Para 11 of Direction 27 of 2026)

CERTIFICATE OF ORIGINALITY BY THE RESEARCH SUPERVISOR

This is to certify that the Ph.D. thesis titled

“ _____ ”
submitted by _____ (Name of Ph.D. Scholar), a registered scholar in the discipline/subject of _____ (Subject Name) under the Faculty of _____ (Faculty Name) at _____ (Name of Institution/College/Department), is an original piece of research work carried out under my supervision.

I further certify that:

1. The thesis embodies original research conducted by the scholar and has been prepared independently under my guidance.
2. The research work adheres to the academic integrity and plagiarism policies of Sant Gadge Baba Amravati University.
3. The thesis has not been submitted, either in part or full, for the award of any other degree, diploma, or certificate in this or any other discipline at Sant Gadge Baba Amravati University or any other university/institution.
4. To the best of my knowledge, the thesis is free from plagiarism beyond the permissible limit set by the University, and a plagiarism certificate issued by _____ (Name of Plagiarism Detection Authority/Software) is attached herewith.

I affirm that the above statements are true to the best of my knowledge and belief.

Date: _____

Place: _____

Signature of Research Supervisor: _____
Name of Research Supervisor: _____
Designation: _____
Institution/Department: _____
Seal: _____

Sant Gadge Baba Amravati University, Amravati
FORM - 7

(Under Para 12 of Direction 27 of 2026)

**APPLICATION FOR RECOGNITION & REVIEW OR CONTINUATION OF
RECOGNITION OF COLLEGE FOR OFFERING PH.D. PROGRAMMES**

To,
The Deputy Registrar (Ph.D. Cell),
Sant Gadge Baba Amravati University,
Amravati.

1. Name of the College/Institute: _____
2. Year of Establishment of the Department (Specify the subject): _____
- a) U.G. Department : _____
- b) P.G. Department : _____
3. Address: _____

4. Subject in which the recognition is sought : _____ Faculty: _____

Section 1: Mandatory Checks

Criteria	Requirement	Response (Yes/No)
Affiliation and Accreditation	1. Is the institute affiliated with a recognized university?	
	2. Is the institute NAAC/NBA-accredited (mention grade/rating & Period of Validity)?	
PG Program Availability	Does the institute offer PG programs/Four Year UG Program in the relevant discipline	
Faculty	Are there at least two Ph.D.-qualified faculty members?	
Infrastructure	1. Are there dedicated research labs with advanced equipment for research purposes? (Minimum 250 sq.ft)	
	2. Are library resources (books, e-journals, and databases) sufficient for research needs?	
	3. Is there high-speed internet and IT support?	
Compliance	Is there an active College Research and Development Committee (CRDC)?	

Section 2: Detailed Information

SANT GADGE BABA AMRAVATI UNIVERSITY GAZETTE - 2026 - PART ONE – 629

Criteria	Details Requested	Institutional Inputs
Institute Overview	Name of the Institute	
	Address:	
	Type of institution: (Government/Private/ Autonomous).	
	Whether the College/ Institute is under section 2 (f) & 12(B) of the UGC Act 1956?: Yes/No (Attach evidence)	
Academic Programs	No of UG/PG programs (Annexure I)	
Faculty	No of faculty members (Annexure II)	
Infrastructure	No of lab available for research (Annexure III)	
Research Output	No of funded projects, patents filed, and Peer Reviewed publications (last 3 years). (Annexure IV)	
Collaborations	No of Existing Active MoUs with national/ international institutions or industry partners. (Annexure V)	
Administrative Support	No of the Supporting Staff (Annexure VI)	
Proposed Ph.D. Capacity	Total seats requested (Annexure VII)	

Date: _____

Seal

(Signature & Name of the Head/
Principal)

Place: _____

Note: Attach the attested photo copies and list of the relevant documents.

1. Annexure I: Academic Programs

Program Name	Level (UG/PG)	Year of Commencement	Annual Intake	Pass Percentage (Last 3 Years)

Date: _____

Seal

(Signature & Name of the Head/
Principal)

Place: _____

2. Annexure II: Faculty Details

Name	Qualification	Designation	Ph.D. Supervision Eligibility (Yes/No)	Publications (Last 5 Years)	Specialization

Date: _____

Seal

(Signature & Name of the Head/
Principal)

Place: _____

3. Annexure III: Infrastructure

Lab/ Facility Name (No and Area)	Equipment Available (Provide the List)	Capacity (No. of Students)

Date: _____

Seal

(Signature & Name of the Head/
Principal)

Place: _____

Annexure IV: Research Output (Last 3 Years)

A. Projects:

Project Name	Funding Agency	Amount (₹)	Duration	Status (Ongoing/Completed)	Patents (if any)

B. Publications:

Name of the Faculty Member	Title of the Paper	Authors	Details of the Publication (Journal, Year, Vol., Issue, Pages)	Scopus / UGC Care / Peer Reviewed	Impact Factor with Agency

C. Patents:

Patent Title	Patent Number	Inventors	Date of Filing	Date of Grant	Patent Status (Filed/ Published / Granted)	Indian / Int'l	Collaborating Institutions/Industry

Date: _____

Seal

(Signature & Name of the Head/
Principal)

Place: _____

Annexure V: Existing MoUs with national/international institutions or industry partners

MoU Partner	Nature (Academic/ Research/ Extension/etc.)	Duration	Outputs (Projects, Papers, Patents, Activities)

Date: _____

Seal

(Signature & Name of the Head/
Principal)

Place: _____

Annexure VI: Administrative Support

Name of the Staff on Role	Designation	Specific Responsibilities

Date: _____

Seal

(Signature & Name of the Head/
Principal)

Place: _____

Annexure VII: Proposed No. of Ph.D. Scholars

Faculty-Based	Infrastructure-Based	Final Proposed

Justification:
Faculty-Based Capacity –

a. No. of Professors with PhD Supervisor Recognition: _____

b. No. of Associate Professors with PhD Supervisor Recognition: _____

c. No. of approved Assistant Professors with PhD Supervisor Recognition: _____

Note : The **Higher Educational Institution** shall use Form No. 7 for Review or Continuation of Recognition of College for Offering Ph.D. Programmes.

Date: _____

Seal

(Signature & Name of the Head/
Principal)

Place: _____

Sant Gadge Baba Amravati University, Amravati
FORM - 8

(Under Para 13 of Direction 27 of 2026)

NO OBJECTION CERTIFICATE (NOC) FOR PART-TIME PH.D. SCHOLAR

(To be issued on Organization Letterhead)

Date: _____

To Whom It May Concern,

Subject: No Objection Certificate (NOC) for Pursuing Ph.D. Studies on a Part-Time Basis

This is to certify that _____ [Employee's Full Name],
_____ [Employee's Designation], currently employed at
_____ [Organization Name],
has applied for permission to pursue Ph.D. studies on a part-time basis at
_____ [Name of the Research Center/Institution].

We hereby grant our full consent and issue this No Objection Certificate (NOC) in favor of
_____ [Employee's Full Name]
under the following conditions:

1. _____ [Employee's Full Name]
is permitted to pursue Ph.D. studies on a part-time basis while continuing their regular
employment with _____ [Organization Name].
2. The official responsibilities of him/her within **this** institute will not interfere with their ability to
allocate adequate time for research and academic work.
3. As and when required, he/she may be granted leave or be relieved from their official duties to
fulfill the necessary requirements of their Ph.D. program.
4. The organization acknowledges the importance of academic research and will facilitate necessary
support to ensure that he/she can effectively balance their professional responsibilities and
research commitments.

This NOC is being issued upon the request of the employee for submission to Sant Gadge Baba
Amravati University, Amravati, and other relevant authorities.

Sincerely,

[Employer's Name] _____
[Designation] _____
[Organization Name] _____
[Official Contact Information] _____

(Seal & Signature of the Employer/Authorized Signatory)

Sant Gadge Baba Amravati University, Amravati
FORM – 9

To, *(Under para 6 of Direction 27 of 2026)*
**APPLICATION FOR RELOCATION OF A FEMALE / PERSON WITH
DISABILITY PH.D. SCHOLAR**

The Director,
Board of Examinations and Evaluation,
Sant Gadge Baba Amravati University,
Amravati (Maharashtra), India.

Subject: Application for Relocation of Ph.D. Enrollment due to Marriage / Disability (Above 80%)

Respected Sir/Madam,

I, _____ [Name of the Candidate], a Ph.D. Scholar in the subject of _____ [Subject Name], under the Faculty of _____, request permission for relocation to _____ [New Department/College Name] due to following reason –

I have been admitted to _____ [Current University/College Name] on _____ [Date of Admission] under the supervision of _____ [Name of Supervisor] at _____ [Department Name]. I hereby request the transfer of my Ph.D. research data to the _____ [New University Department/College Name], where I intend to continue my Ph.D. research.

Details of the Applicant:

1. **Name of Ph.D. Scholar:** _____
2. **Gender:** _____
3. **Category (Female / Disability - Above 80%):** _____
4. **Enrollment Number:** _____
5. **Case No.:** _____
6. **Current Research Title:** _____
7. **Name of Current Supervisor:** _____
8. **Current Department & College Affiliated to Sant Gadge Baba Amravati University:** _____
9. **Proposed University department/College for Relocation:** _____
10. **Name of the Proposed Supervisor:** _____
11. **Name of the Institute of the Proposed supervisor:** _____

Declaration by the Applicant:

I hereby declare that:

1. My research work does not pertain to any project sanctioned to the parent institution or supervisor by any funding agency.
2. I understand that my relocation shall be in accordance with the provisions of UGC Regulation 2022.
3. I shall duly acknowledge the parent institution, university, and supervisor for the research conducted under their guidance before relocation.
4. I will comply with all necessary academic and administrative requirements at the new institution for completing my Ph.D.

Signature of the Applicant
(Name: _____)
Date: _____

Endorsement by the Supervisor & Head of Department (Current Institution)

I, _____ [Name of Supervisor], working as _____
[Designation] at _____ [Department Name], hereby endorse that the Ph.D.
Scholar _____ [Name of Applicant] has been conducting research under my
supervision. Based on the scholar's request, I provide my consent for the relocation, subject to university
approval.

Supervisor's Signature: _____

Name: _____

Date: _____

Signature of the Head of Department/institute: _____

Name: _____

Date: _____

Approval by the New Department /College

I, _____ [Name of Supervisor], working as _____
[Designation] at _____ [Department Name], hereby endorse that the Ph.D.
Scholar _____ [Name of Applicant] is accepted as a research scholar for Ph.D.
under my supervision. Based on the scholar's request, I provide my consent for the relocation, subject to
university approval.

Supervisor's Signature: _____

Name: _____

Date: _____

I, _____ [Name of the Head of Department], representing
_____ [New Department/College Name], confirm that we have
reviewed the application and are willing to accept the scholar's transfer for the continuation of the Ph.D.
research work.

Signature of Head of the Department / College: _____

Name: _____

Date: _____

Approval from Sant Gadge Baba Amravati University

The relocation request has been **Approved / Rejected** based on the university's review of the
application and compliance with Regulations.

**Director, Board of Examinations and Evaluation
Sant Gadge Baba Amravati University**

Signature: _____

Date: _____

Sant Gadge Baba Amravati University, Amravati
FORM – 10

(Under para 10 of Direction 27 of 2026)

END SEMESTER BRIEF REPORT ON THE PROGRESS OF PH.D. WORK

1. College Name

:

2. Date of Submission

:

(Odd / Even Semester End)

3. Name of the Ph.D. Scholar

:

4. Name of the Supervisor

:

5. Name of the Co-supervisor (if any)

:

6. Case No

:

7. Retention Fee Receipt No.&date

:

(Attach)

8. Full time/Part time Ph.D. Scholar

:

9. Progress Report No.

:

10. Summary of Previous Progress

:

11. Suggestions given in Previous Progress:

12. List the specific objectives of the Research, as outlined in the research Proposal (for this period):

13. Report on the progress made towards achieving these objectives

:

14. Described the research design, methods and procedures adopted:

15. Report on the data collection process, including any challenges or limitations:

16. Describe the data analysis methods used and report on any preliminary findings.

17. Mention any challenges or Limitations encountered and how they were addressed:

18. Highlight any significant achievement or milestones researched method:

19. Outline the next steps to be taken in the research:

20. Provide a revised timeline for completing the research :

21. Describe the expected outcomes of the next phase of the research:

(Note: Ph.D. Scholar shall submit the progress report on point No. 10 to 20 whichever is applicable and relevant in detail for satisfaction of the RAC)

Date: _____

Signature of Ph.D. Scholar

Decision of RAC on progress Report in its meeting held on _____(Date):

1. Presentation given by scholar is -

☐ Satisfactory and Recommended to the University.

☐ Unsatisfactory following corrective measures any suggested.

Revised date of submission & Presentation: _____

2. Suggestions to improve progress of the candidate:

3. Recommended to the University / Recommended for cancellation of Registration with following reasons.

Supervisor

HoD

RAC Coordinator

External Expert

Chairperson, RAC

Sant Gadge Baba Amravati University, Amravati

(Under Para 5 of Direction 27 of 2026)

DECLARATION OF VACANCY POSITIONS

(as on _____)

S.N.	Name of the School/ Dept / College / Institute	Subject/Discipline	Year of Recognition	No. of Seats Allotted	Vacancies available
1.					
2.					
3.					
4.					
5.					
6.					
7.					

[Director of School/HoD of University Dept/Principal/Head of the Institute]_____

[Organization Name] _____

[Official Contact Information]_____

(Seal & Signature of the Employer/Authorized Signatory)

Sant Gadge Baba Amravati University, Amravati
(Under Para 10 of Direction 27 of 2026)
RESEARCH PROPOSAL (SYNOPSIS) TEMPLATE FOR PHD
REGISTRATION

Title of the Research Proposal: (A precise, clear, and concise title that reflects the core research idea)

1. Summary (250 words)

A brief overview of the research problem, objectives, methodology, and expected outcomes. The summary should follow the 4C's principle – Complete, Concise, Clear, and Cohesive.

2. Introduction

- **Origin of the Proposal:** Context and background leading to the research idea.
- **Definition of the Problem:** Clear articulation of the issue being addressed.

3. Research Aim and Objectives

Aim

- A Statement presenting the overall purpose of the research

(The aim shall be clearly stating addition to the knowledge, addressing existing gap and devising/testing a solution)

Objectives:

- Parts of the Aim/Path to Achieve
- Specific research targets on the path with breakups for better understanding.
- Paving path for further steps
- Clearly defined and structured objectives in the form of numbered list.

4. Significance of the Problem

- **Global Perspective:** How the research contributes to the broader global understanding.
- **Local Perspective:** Relevance and implications in the national or regional context.
- It shall be explaining WHY (upgradation, innovation), WHO (beneficiaries), WHAT (research questions), WHERE (global/national impact), and WHEN (past, present, future relevance).

5. Critical Review of Literature

- **International Status:** Review of existing studies, findings, and gaps at the global level.
- **National Status:** Current research and developments within the country.
- **Patent Details:** Relevant patents (Indian and international).

6. Work Plan and Methodology

- **Material and Methods:** Data collection techniques and tools.
- **Feasibility of the Research Work:** Resources, time frame, and technical capabilities
- **Sampling Strategy:** Target population and selection process.
- **Data Analysis Techniques:** How results will be interpreted.
- **Work Plan:** Quarter wise targets to be achieved of the

7. Expected Research Outcomes and Beneficiaries

- Correlate with the Aim and Objectives
- Anticipated findings and their impact.
- Potential beneficiaries of the research (academic, industrial, or societal).
- Additions to the knowledge, solution to the problem, etc.

8. Sustainability in the Research Proposal

- Long-term applicability and contributions to the field.

9. Originality/Novelty of the Proposal

- Justify the originality and novelty in relation to the review of literature
- Unique aspects that make this research significant and innovative.
- Opportunities for the product development, publications, patents, etc.

10. References

- Properly formatted citations using standardized styles (Choose any one of the APA, IEEE, MLA, etc. and follow the same throughout the text and bibliography).

Sign of Supervisor

Name: _____

Date: _____

Sign of the Research Scholar

Name _____

Date: _____

Decision of RAC: Finalized the topic _____
and recommended to RRC, Sant Gadge Baba Amravati University, Amravati for final approval.

Supervisor

HoD

RAC Coordinator

External Expert

Principal

Sant Gadge Baba Amravati University, Amravati

(Under Para 10 of Direction 27 of 2026)

**FORMAT FOR SUBMITTING INFORMATION OF PH.D. SCHOLAR TO THE
UNIVERSITY.**

1. Name of the Department / College: _____
2. Name of the Ph.D. Scholar : _____
3. Case No. (College Code/Sr. No./Admission Year for ex. 12510 2025): _____
4. Category: General / SC / ST / OBC (Non crème layer) / Differentially Abled.
5. Discipline / Subject _____ EWS / other _____.
6. Master Degree Completed: _____
7. Percentage of Marks at Master Degree: _____
8. Four Year Degree Program (FYDP) Completed: _____
9. Percentage of Marks at FYDP: _____
10. Equivalent Master Degree from Foreign Educational Institute: _____
11. Percentage of Marks or Equivalent Grade: _____
12. UGC – NET / UGC – CSIR / NET /SET/ GATE / CEED and Similar National Level Test qualified. _____
13. Entrance Test conducted by the University for Ph.D. Admission with minimum (50% of marks)
14. Interview Performance: (30%)
15. Whether Course completed successfully: Yes / No (**Enclose Certificate**)
16. Copy of finalized Research proposal (7 copies) : **Enclosed**
17. Copy of Review Paper (7 copies) : **Enclosed**
18. Physically Documents verification by Institute : **Yes**

Date :

RAC Chairperson

APPENDIX -4

Sant Gadge Baba Amravati University, Amravati

(Under para 10 of Direction 27 of 2026)

LIST OF PH.D. SCHOLARS APPROVED BY RRC IN THE

DISCIPLINE/SUBJECT_____

Sr. No.	Name of Registered Ph.D. Scholar	Discipline / Subject	School/Department/College	Name of Supervisor
1	2	3	4	5

Topic of Research	Date of Admission	Last Date for submission of thesis after first registration	Case No.	Remarks
6	7	8	9	10

Date:Signature of Competent Authority

Sant Gadge Baba Amravati University, Amravati

(Under Para 11 of this Direction)

EVALUATION REPORT ON THE THESIS BY RESEARCH SUPERVISOR

To,
The Deputy Registrar (Ph.D.) Cell
Sant Gadge Baba Amravati University, Amravati
Amravati.

Subject : Evaluation Report on the Ph.D. Thesis Submitted by (Scholar’s Name)

Respected Sir/Madam,

I, _____(Name of Research Supervisor),
serving as _____(Designation) in the
_____(Department/Institution
Name), have reviewed and evaluated the Ph.D. thesis submitted by
_____(Scholar’s Name), who is registered under my
supervision in the discipline of _____(Subject Name) under the Faculty of
_____(Faculty Name).

The thesis titled " _____"
has been assessed based on its originality, methodology, research contribution, and overall academic
quality. The detailed evaluation is as follows:

1. Research Overview and Objectives

2. Research Methodology

3. Original Contribution and Significance

4. Literature Review & Citation Accuracy

5. Clarity, Presentation, and Structure

6. Plagiarism & Ethical Compliance

7.Final Recommendation

Based on my evaluation, I certify that:

- The thesis under submission is completely aligned with the objectives set in the Synopsis approved by RRC, Sant Gadge Baba Amravati University, Amravati
- The Ph.D. thesis is of an acceptable standard for submission and defense.
- The research work meets the academic and ethical standards prescribed by Sant Gadge Baba Amravati University.
- I recommend that the thesis be considered for further evaluation by the external examiners.

Date:
Place:

Signature of Research Supervisor:

Name of Research Supervisor:

Designation:

Institution/Department:

Seal:

Sant Gadge Baba Amravati University, Amravati

(Under Para 11 of Direction 27 of 2026)

EVALUATION REPORT ON THE THESIS BY EXTERNAL EXAMINER

To,
The Deputy Registrar (Ph.D.) Cell
Sant Gadge Baba Amravati University, Amravati
Amravati.

Research Scholar & Supervisor Details

1. Name of Research Center: _____
2. Name of Candidate: _____
3. Registration No. : _____
4. Subject: : _____
5. Faculty: : _____
6. Name of Supervisor: : _____

PART – I:CHECKLIST FOR COMPLIANCE WITH RRC GUIDELINES &
RESEARCH EVALUATION:

Parameter	Yes	No
1. Alignment with Approved Synopsis		
a. Has the scholar strictly adhered to the approved research plan as per the RRC guidelines?		
b. Are the research aim, objectives, and methodology in line with the approved synopsis?		
c. Has the scholar incorporated any modifications or recommendations suggested by the RRC?		
2. Study and Evaluation of Research Aim & Objectives		
a. Has the scholar significantly evaluated the aim and objectives stated in the synopsis?		
b. Are the objectives clearly defined, measurable, and achievable?		
c. Do the objectives reflect the intended scope and depth of the study?		
3. Evaluation of Proposed & Adopted Methodologies		
a. Has the scholar followed the research methodology as proposed in the synopsis?		
b. Were any deviations made from the approved methodology? If so, were they justified and documented?		
c. Are the data collection, analysis, and experimental setup appropriate and methodologically sound?		
d. Has the scholar ensured accuracy, reliability, and validity of the chosen methods?		
4. Technical Soundness & Execution of Research		
a. Has the scholar demonstrated technical competence in conducting experiments, simulations, or surveys?		
b. Are statistical tools, software, or theoretical frameworks effectively used and justified?		
c. Is the research replicable and reproducible, following standard academic practices?		
5. Analysis & Interpretation of Results		
a. Are the results presented logically and aligned with the research objectives?		

b. Has the scholar compared findings with existing literature to validate the study?		
c. Are data visualizations, graphs, and tables used effectively to support conclusions?		
6. Critical Discussion of Research Findings		
a. Does the discussion critically analyze the implications of the findings?		
b. Has the scholar adequately addressed any limitations or challenges encountered during research?		
c. Are the conclusions supported by evidence rather than assumptions?		
D. Adherence to Academic & Ethical Standards		
7. Plagiarism & Ethical Compliance		
a. Has the scholar ensured that the research work is plagiarism-free within permissible limits?		
b. Have all ethical guidelines, approvals, and data integrity measures been followed?		
8. Consistency with RRC-Mandated Research Path		
a. Has the scholar followed the direction provided by the RRC throughout the research period?		
b. Are any major deviations from the approved synopsis clearly justified and formally documented?		
9. Significance of Research Contributions		
a. Does the research introduce new knowledge, theories, or applications?		
b. Is there a clear practical, theoretical, or industrial relevance to the findings?		
c. Has the scholar suggested further scope for future research in the domain?		
10. Thesis Presentation & Clarity		
a. Is the language clear, concise, and academically appropriate?		
b. Is the thesis structure well-organized, ensuring logical flow from introduction to conclusion?		
c. Have redundancies and irrelevant content been minimized?		

Final Recommendation

It is my considered opinion that: (Please Tick)

A) The thesis be accepted for the award of Ph.D. degree in its presentation form

B) The thesis be revised & resubmitted

C) The thesis be rejected

The detailed evaluation report with reference to the points mentioned in Pat II of the Report is attached.

Date: _____

Signature of the Referee

Place: _____

(Name: _____ **)**

PART - II: DETAIL EVALUATION REPORT

Kindly enclose a detailed report on a separate sheet of paper in addition to the above proforma. A detailed report on the strengths & weaknesses of the thesis is most essential.

1. Introduction of Ph.D. Work
2. Definition of research scope and goals
3. Chapter wise evaluation
4. Methods, conclusions, contribution to knowledge and thesis structure
5. Presentation and language, command of sources
6. Overall result
7. Questions to the defendant
8. Recommendation's

Date: _____

Signature of the Referee

Place: _____

(Name: _____)

APPENDIX -7

Sant Gadge Baba Amravati University, Amravati
(Under Para 11 of Direction 27 of 2026)
THE REPORT OF VIVA VOCE

To,

The Deputy Registrar (Ph.D.) Cell
Sant Gadge Baba Amravati University, Amravati
Amravati.

Research Scholar & Supervisor Details

1. Name of Research Center: _____
2. Name of Candidate: _____
3. Registration No. : _____
4. Subject: _____
5. Faculty: _____
6. Name of Supervisor: _____
7. Ph.D. Thesis title: _____

The Viva-voce Examination was conducted on _____ (date) at _____ (time)
in _____ (Venue).

The following members were present:

1. Prof./Dr. _____ (Dean)
2. Prof./Dr. _____ (BoS
Chairperson)
3. Prof./Dr. _____ (Ext. Examiner)
4. Prof. /Dr. _____ (RRC
Member)
5. Prof./Dr. _____ (Supervisor)
6. Prof. /Dr. _____ (Co-Supervisor)
7. Prof. /Dr. _____ (Co-Supervisor)
8. Prof. /Dr. _____ (RAC
Coordinator)

The candidate presented his/her research work, highlighting the major contributions made. Following the presentation, the candidate was rigorously examined by the designated members. The questions and clarifications raised by all thesis examiners were duly addressed, along with additional queries from the research scholars and the students present.

The examination panel is fully satisfied with the candidate's performance in the Viva Voce examination. The candidate has demonstrated a comprehensive understanding of the research domain and has satisfactorily responded to all questions posed. Furthermore, it is certified that all corrections, clarifications, and modifications suggested by the examiners in their respective reports have been duly incorporated by the candidate in the final hard-bound copy of the thesis to be submitted to the University.

SANT GADGE BABA AMRAVATI UNIVERSITY GAZETTE - 2026 - PART ONE – 649

Based on the Viva Voce examination and in consideration of the examiners' reports on the thesis, the panel recommends that Shri/Kum./Smt. _____[Candidate's Name] be awarded the degree of Doctor of Philosophy (Ph.D.) in the subject of _____[Subject Name] under the faculty of _____[Faculty Name].

(External Examiner)

(BoS Chairperson)

(RRC Member)

(Supervisor)

(Co-Supervisor/s)
(Chairperson)

(RAC Coordinator)

Certificate

The Viva Voce is conducted at this _____center on _____(date).

The report is forwarded to Sant Gadge Baba Amravati University, Amravati for further actions.

RAC Chairperson

Sant Gadge Baba Amravati University, Amravati
(Under Para 12 (iii) of Direction 27 of 2026)
**REPORT OF THE COMMITTEE FOR GRANTING RECOGNITION TO
OFFER PH.D. PROGRAM**

1. Name of the College/Research Institution/Institute: _____
2. Affiliated _____ University: _____
3. Faculty _____ & _____ Subject _____ for _____ Recognition: _____
4. Infrastructure & Research Facilities Available (Yes/No): _____
5. Availability of Recognized Research Supervisors (Yes/No): _____
6. Compliance with University Guidelines (Yes/No): _____
7. Utilization of the research fees is audited:(Yes/No): _____
8. Observations/Remarks _____ of _____ the _____ Committee: _____

9. Recommendation: ☐ Approved ☐ Not Approved
10. No of Ph.D. Seats Allotted: _____
11. Committee Members:
 - a. Dean of Faculty (Chairperson): _____
 - b. Chairperson, Board of Studies: _____
 - c. Expert Nominated by Pro Vice-Chancellor: _____
12. Date of Report Submission: _____

(Chairperson)

Expert	Chairperson Board of Studies	Dean of Faculty	Professor (nominated by Hon'ble Pro-Vice Chancellor)
--------	---------------------------------	-----------------	---

(Note: This format will be applicable to the review and continuation of the recognition)

Sant Gadge Baba Amravati University, Amravati
(Under Para 12 (iii) of Direction 27 of 2026)

LIST OF RECOGNIZED COLLEGES/INSTITUTES/SUBJECT/DISCIPLINE
FOR PH.D. PROGRAM

Sr. No.	Name of College/Institute	Faculty	Subject	Recognition Granted (Yes/No)	No of Seat allotted	Date of Recognition
1.						
2.						
3.						
4.						
5.						
6.						

Date: _____

Authorized Signatory
(University Seal & Signature)

Sant Gadge Baba Amravati University, Amravati

(Under Para 15 of Direction 27 of 2026)

PROVISIONAL DOCTOR OF PHILOSOPHY (PH.D.) CERTIFICATE

This is to certify that Shri/Ku./Smt. _____[Candidate's Name],
son/daughter of _____[Parent/Guardian's Name],
has successfully completed the Viva Voce Examination for the award of the Doctor of Philosophy
(Ph.D.) degree in _____[Subject Name]
under the Faculty of _____[Faculty Name] on _____[Date].

The candidate has met all the requirements as per the university Regulations.

This provisional certificate is issued as per the guidelines of the University and is valid until the issuance
of the original Ph.D. degree.

Date of Issue: _____ [DD/MM/YYYY]

Certificate No.: _____ [Unique ID]

Director

Board of Examination and Evaluation

Sant Gadge Baba Amravati University, Amravati

[Seal/Signature]

Sant Gadge Baba Amravati University, Amravati

(Under Para 15 of Direction 27 of 2026)

NOTIFICATION OF DOCTOR OF PHILOSOPHY (PH.D.)



It is notified for general information that the Vice-Chancellor has accepted the reports of Examiners for evaluation of the thesis submitted by _____(Name of the Research Scholar) for the award of Ph.D. degree as per Direction No. _____ of 2025 Dated _____and declared that _____(Name of the Research Scholar) is eligible for the award of degree of Doctor of Philosophy (Ph.D.) in the subject and faculty mentioned below.

Full Name of the Research Scholar: _____
Address: _____

Name of Mother: _____

Date of Registration/Re-Registration: _____

Title of the Thesis: _____

Research Work Place: _____

Full Name of the Research Supervisor: _____

Address of the Supervisor: _____

Research Co-Guide: _____

Subject: _____

Faculty: _____

Date of Submission of the Thesis: _____

Date of Viva-Voce: _____

Notification No.: _____
Date: _____

Sign
Director

Board of Examinations and Evaluation
Sant Gadge Baba Amravati University

APPENDIX – 11

Sant Gadge Baba Amravati University, Amravati.
(Under Para 5(vi) of Direction 27 of 2026)

PH.D. FEE SCHEDULE

Sr. No.	Description	Amount payable to the School /Dept/College (Rs)	Amount payable to the University (Rs)
A. For Ph.D. Scholars			
1	Prospectus and Application Form	500	
2	Admission Fee	2000	
3	Tuition Fee for Course Work	10000	
4	Enrollment Fee (For other University Scholar)		2000
5	Library Fee	3000	
6	Ph.D. Registration Fee		3000
7	Annual Research Laboratory / Field Work		
	For Science	10000	
	For Engineering	30000	
	For Commerce & Management, Humanities & Inter-disciplinary Studies	5000	
8	Draft thesis Defense Fee	3000	
9	Annual Retention Fee		2000
10	Thesis Submission Fee		15000
B. Other Fees			
11	Allocation of research supervisor – Application Fees		5000
12	Recognition of college for offering Ph.D. Program per discipline/subject		20000
13	Annual Contribution to the University by the college per student		1000
14	Review and continuation of recognition after every five years		10000

Notes:

1. These fees shall be applicable to the scholar admitted under this Direction.
2. Above fees shall be revised by the University after every three year and shall be published from time to time
3. Admission fees for the Ph.D. Scholar taking admission in the University school/department shall be paid to the University
4. The fees collected as per this schedule shall be utilized strictly for the purpose of the research facility development of the respective subject/discipline. The audited statements of this fund shall be placed before the review committee.

Sant Gadge Baba Amravati University, Amravati
(Under para 6 of Direction 27 of 2026)

LIST OF NEWLY RECOGNIZED SUPERVISOR / GUIDE

Sr. No.	Discipline / Subject	Name of the Supervisor & Guide	University / Name of the College where employed	Designation	Permissible Scholars	Recognition No.
1	2	3	4	5	6	7

DR (Ph.D. Cell)
Sant Gadge Baba Amravati University, Amravati
Sign and Seal

Sant Gadge Baba Amravati University, Amravati
(Under para 5 of Direction 27 of 2026)

RAC REPORT FOR PH.D. SCHOLAR ADMISSIONS

Name of the Research Centre:
Subject: _____ Faculty : _____

Sr. No.	Name of candidate	Category	Qualifications			Eligibility Criterion for Admission						Employed / Not employed	Remarks and name of the Allotted Supervisor
			PG	Year of Passing/ University	% of Marks/ CGPA	M. Phil	% of Marks/ CGPA	Year of Passing	PET/SET/ GATE/NET/MPhil	Awarding Agency/ University	Year		

Supervisor

HoD

RAC Coordinator

External Expert

Chairperson, RAC

Sant Gadge Baba Amravati University, Amravati
(Under Para 18 of Direction 27 of 2026)

APPLICATION FOR THE CHANGE OF COLLEGE/SUPERVISOR
(Within the University)

Name of (Present) Research Center: _____

Faculty:- _____ Subject:- _____ Date:- _____

- 1. Name of Research Scholar _____
- 2. Registration No. & Date: _____
- 3. Title _____ of _____ Topic _____ of _____ Research: _____
- 4. Name of Present Supervisor: _____
- 5. Name of Present Co-supervisor (if any) : _____
- 6. Reason(s) for request of change of Research Center:
 - i) _____
 - ii) _____
 - iii) _____

Date: _____ Name and Signature with date of Research Scholar (Applicant) _____

No Objection Certificate from Present Supervisor

_____ (Name of Research Scholar) is presently registered & working under my supervision in the _____ (Name of research center).

The _____ Topic _____ / _____ Title _____ of _____ Research _____ is _____.

The request of the Research Scholar the change of present research center _____ to _____

under the supervision of _____ is accepted.

I have no objection if the said request is acceded by the concerned authority.

Name and Signature of Supervisor with date _____ Chairperson, RAC

No Object Certificate from the new supervisor

The applicant Research Scholar _____ (Name of Scholar) is registered for Ph.D. degree programme at the research center _____ and working under the supervision of Dr. _____

The applicant has requested for change of research center for the reasons the stated in application. We have no objection, f the request for change of research center is approved by the concerned authorities.

Name and Signature of Supervisor with date _____ Chairperson, RAC

(To be forwarded to Dean of concerned faculty of the university)

Sant Gadge Baba Amravati University, Amravati
(Under para 6 of Direction 27 of 2026)

CONSOLIDATED INFORMATION OF RESEARCH SUPERVISORS OF THE HEI

Name of the School/Department/College: _____
Subject: _____ Faculty : _____

Sr. No.	Name of Supervisor	Designation	Research Scholars already admitted for Course Work	Date of Admission	Research Scholars already Registered through RRC	Registration No. and Date	Total No. of Scholars working	Research Scholars to be admitted for course work
			1. _____ 2. _____ 3. _____ 4. _____		1. _____ 2. _____ 3. _____ 4. _____			

Date: _____

Head of the Institution

COVER & TITLE PAGE FOR SYNOPSIS/SUMMARY/THESIS OF
RESEARCH

(Under para 11 of Direction 27 of 2026)

Title of the Thesis

for the Award of Degree of Doctor of Philosophy (Ph.D.) in

Subject: _____

in the faculty of

of

SANT GADGE BABA AMRAVATI UNIVERSITY, AMRAVATI

By

Name of Researcher

Under the Supervision of

and

(Co-Supervisor, if any)

In the

Code & Place of the Research

Submitted to

Sant Gadge Baba Amravati University, Amravati -444602(MS)

Academic Session